QP CODE: 25046351

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Reg No : .....

Name : .....

B.COM DEGREE (CBCS) IMPROVEMENT / REAPPEARANCE / MERCY CHANCE EXAMINATIONS, NOVEMBER 2025

Third Semester

Core Course - CO3CRT09 - FINANCIAL MARKETS AND OPERATIONS

(Common to all B.Com Degree Programmes)

2017 Admission Onwards

61432CBB

Time: 3 Hours Max. Marks : 80

Instructions to Private candidates only: This question paper contains two sections. Answer SECTION I questions in the answer-book provided. SECTION II, Internal examination questions must be answered in the question paper itself. Follow the detailed instructions given under SECTION II

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Write a note on financial services.
2. What do you mean by SEBI?
3. What is 'money at call or short notice'?
4. What is Outright Purchase?
5. What is Open Book ?
6. What do you mean by Secondary Market?
7. What is 'contract note' in connection with trade in stock market?
8. What is meant by 'free float market capitalization'?
9. Explain the role of 'Assest Management Companies' in mutual fund industry.
10. Briefly explain how NAV of mutual funds is calculated.
11. What is meant by derivative?
12. What is meant by currancy swaps?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*

13. Explain the role investment in financial system.
14. Briefly explain the benefits of government securities.
15. What are the advantages of ASBA in the market?
16. What are the eligibility norms applicable for stock brokers to get certificate of registration from SEBI?
17. What do you mean by Foreign Portfolio Investment? How can it be differentiated from FDI?
18. Explain loading and non-loading in mutual funds.
19. List out the disadvantages of 'Exchange Trade Funds'.
20. Briefly explain various characterisitcs of Forward Contracts.
21. Mention various characterisitcs of Futures Contracts.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Elaborate the history of development and growth of Indian financial system, after economic reforms of 1991.
23. Explain the important intermediaries in the new issue market.
24. What is meant by listing of securities? Elaborate the process of listing of securies in any Indian stock exchange.
25. "Mutual fund investment is subject to market risk". Elaborate the advantages and drawbacks of mutual funds.

(2×15=30)